

Ordinal Computability and Fine Structure

BY PETER KOEPKE

Bonn, November 9, 2005

GÖDEL's constructible hierarchy

- $L_0 = \emptyset$
- $L_{\alpha+1} = \{ \{x \in L_\alpha \mid L_\alpha \models \varphi(x, \vec{y})\} \mid \varphi \in \text{Fml}, \vec{y} \in L_\alpha \}$
- $L_\lambda = \bigcup_{\alpha < \lambda} L_\alpha$
- $L = \bigcup_{\alpha \in \text{Ord}} L_\alpha$

Everything in L is *named* by finitely many ordinals:

$$\begin{aligned}\{x \in L_\alpha \mid L_\alpha \models \varphi(x, \vec{y})\} &= \{x \in L_\alpha \mid L_\alpha \models \varphi(x, \{x \in L_\beta \mid L_\beta \models \psi(x, \vec{z})\})\} \\ &= \{x \in L_\alpha \mid L_\alpha \models \varphi(x, \{x \in L_\beta \mid L_\beta \models \psi(x, \{\dots\})\})\} \\ &\sim (\alpha, \varphi, \beta, \psi, \dots)\end{aligned}$$

This corresponds to *one* ordinal via GÖDEL pairing.

Computing bounded truth in L

$$L_{\alpha+1} \models x \in \{x \in L_\alpha \mid L_\alpha \models \varphi(x, \vec{y})\}$$

$$\leftrightarrow L_\alpha \models \varphi(x, \vec{y})$$

$$\leftrightarrow L_\alpha \models (\psi_0 \vee \psi_1)(x, \vec{y})$$

$$\leftrightarrow L_\alpha \models \psi_0(x, \vec{y}) \text{ or } L_\alpha \models \psi_1(x, \vec{y})$$

$$\leftrightarrow \dots$$

$$L_\alpha \models \exists v \psi(v, \vec{y})$$

$$\leftrightarrow \text{there is } x \in L_\alpha \text{ such that } L_\alpha \models \psi(x, \vec{y})$$

$$\leftrightarrow \dots$$

One can arrange, that the RHS formulas are smaller in an adequate well-order.

If we define a *constructible truth predicate* $F: \text{Ord} \rightarrow 2$ by

$$F(\lceil L_\alpha \models \varphi(x, \vec{y}) \rceil) = 1 \text{ iff } L_\alpha \models \varphi(x, \vec{y})$$

then F has a recursive definition of the form:

$$F(\alpha) = \begin{cases} 1 & \text{iff } \exists \nu < \alpha \ H(\alpha, \nu, F(\nu)) = 1 \\ 0 & \text{else} \end{cases}$$

for some computable function H .

Computing $F(3)$ with a stack of ordinals:

time	stack contents	numerical code
1	$F(3)?$	$2^3 = 8$
2	$F(3)?, F(0)?$	$2^3 + 2^0 = 9$
3	$F(3)?, F(0)!(=0)$	
4	$F(3)?, F(1)?$	$2^3 + 2^1 = 10$
5	$F(3)?, F(1)?, F(0)?$	$2^3 + 2^1 + 2^0 = 11$
6	$F(3)?, F(1)?, F(0)!$	
7	$F(3)?, F(1)!$	
8	$F(3)!$	

Computing $F(\omega + 2)$ with a stack of ordinals:

time	stack contents	ordinal code
1	$F(\omega + 2)?$	$2^{\omega+2}$
2	$F(\omega + 2)?, F(0)?$	$2^{\omega+2} + 2^0$
3	$F(\omega + 2)?, F(0)!(=0)$	
4	$F(\omega + 2)?, F(1)?$	$2^{\omega+2} + 2^1$
5	$F(\omega + 2)?, F(1)?, F(0)?$	$2^{\omega+2} + 2^1 + 2^0$
6	$F(\omega + 2)?, F(1)?, F(0)!$	
7	$F(\omega + 2)?, F(1)!$	
\vdots	\vdots	\vdots
	$F(\omega + 2)?, F(n)?$	$2^{\omega+2} + 2^n$
	\vdots	
	$F(\omega + 2)?, F(n)!$	
	\vdots	
	$F(\omega + 2)?, F(\omega)?$	$2^{\omega+2} + 2^\omega = \lim_{n < \omega} (2^{\omega+2} + 2^n)$
	\vdots	

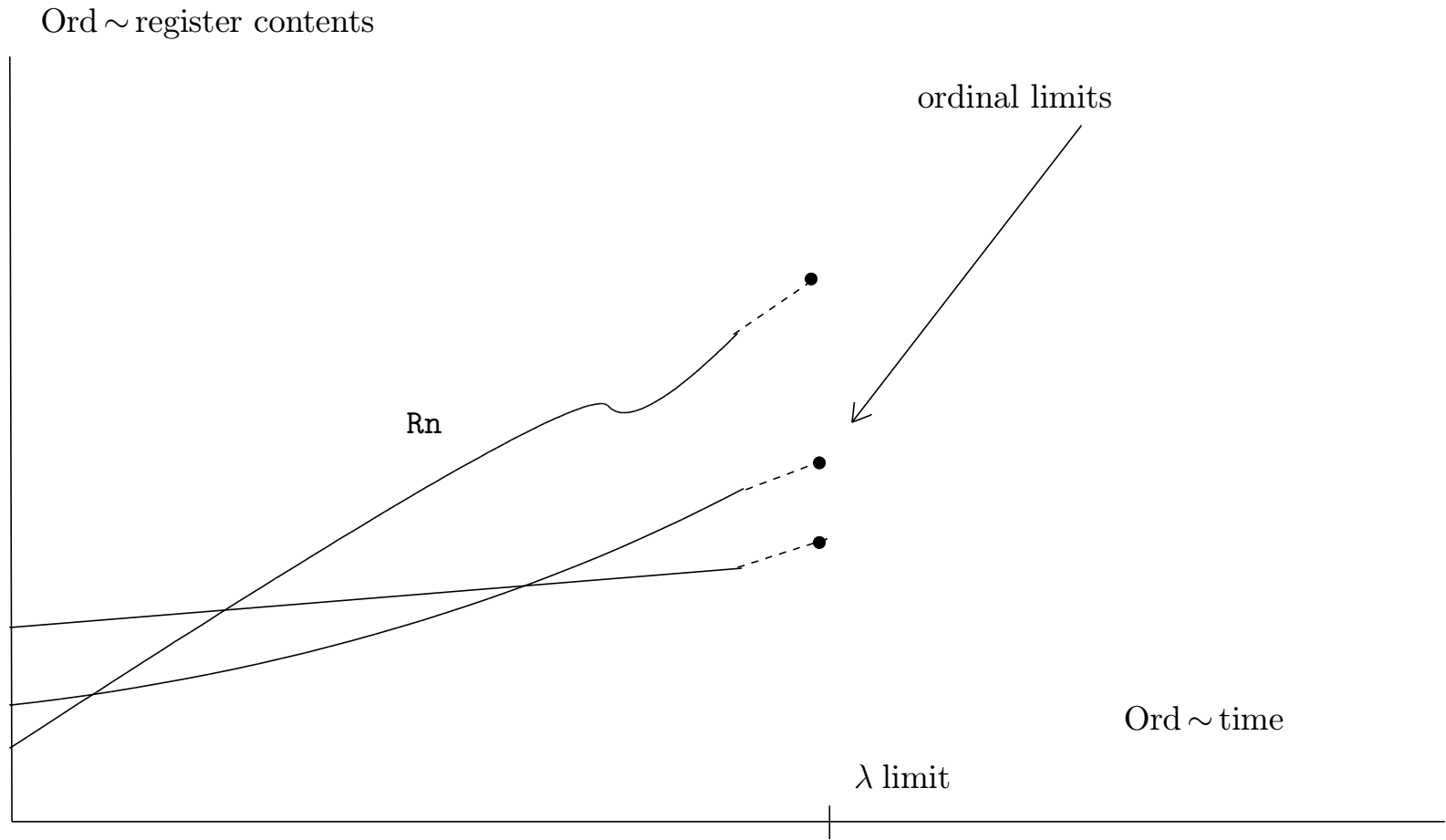
```
value:=2                                %% set value to undefined
MainLoop:
  nu:=last(stack)
  alpha:=l1ast(stack)
  if nu = alpha then
1:  do
    remove_last_element_of(stack)
    value:=0                             %% set value equal to 0
    goto SubLoop
    end
  else
2:  do
    stack:=stack + 1                     %% push the ordinal 0 onto the stack
```



```

    goto MainLoop
end
SubLoop:
    nu:=last(stack)
    alpha:=llast(stack)
    if alpha = UNDEFINED then STOP
    else
        do
            if H(alpha,nu,value)=1 then
3:         do
                remove_last_element_of(stack)
                value:=1
                goto SubLoop
            end
        else
4:         do
                stack:=stack + (3**y)*2      %% push y+1
                value:=2                      %% set value to undefined
                goto MainLoop
            end
        end
    end
end

```



SILVER machines

Consider a structure $M = (\text{Ord}, <, M)$, $M: \text{Ord}^{<\omega} \rightarrow \text{Ord}$. For $\alpha \in \text{Ord}$ let

$$M^\alpha = (\alpha, <, M \cap \alpha^{<\omega});$$

for $X \subseteq \alpha$ let $M^\alpha[X]$ be the substructure of M^α generated by X .

M is a SILVER *machine* if it satisfies the following axioms:

- (*Condensation*) For $\alpha \in \text{Ord}$ and $X \subseteq \alpha$ there is a unique β such that $M^\beta \cong M^\alpha[X]$;
- (*Finiteness property*) For $\alpha \in \text{Ord}$ there is a finite set $z \subseteq \alpha$ such that for all $X \subseteq \alpha + 1$

$$M^{\alpha+1}[X] \subseteq M^\alpha[(X \cap \alpha) \cup z] \cup \{\alpha\};$$

- (*Collapsing property*) If the limit ordinal β is singular in L then there is $\alpha < \beta$ and a finite set $p \subseteq \text{Ord}$ such that $M[\alpha \cup p] \cap \beta$ is cofinal in β .

If there is a SILVER machine then combinatorial principles like \square and Morass hold.